



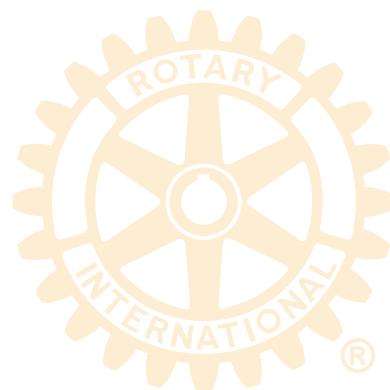
The Rotary Foundation  
La Fondation Rotary du  
Canada



# **The Rotary Foundation Canada and Global Affairs Canada**

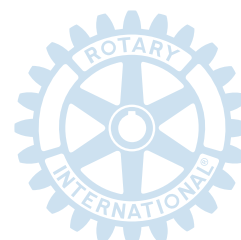
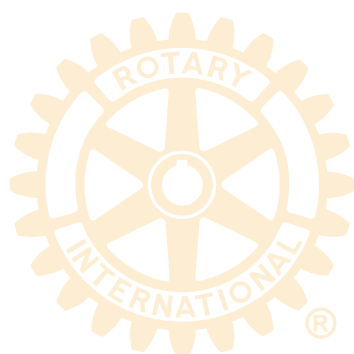
## **GRANT AGREEMENT**

**Fifth and Final Annual Report  
June 2021**



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This is the Fifth and Final Rotary Foundation Canada (TRFC) report submission to Global Affairs Canada (GAC). The report is a summary of the status of each grant approved in partnership with Global Affairs Canada and the Rotary Foundation Canada during the following Rotary years:

- July 1, 2015 to June 30, 2016
- July 1, 2016 to June 30, 2017
- July 1, 2017 to June 30, 2018
- July 1, 2018 to June 30, 2019
- July 1, 2019 to June 30, 2020
- July 1, 2020 to March 31, 2021 (approved extension)

The duration of the official original agreement came into effect on May 21, 2015 and expired on September 1, 2020. A no-cost extension for the Partnership for Community Development Program (D001936/P001004) was agreed upon for an extension to June 1, 2021.

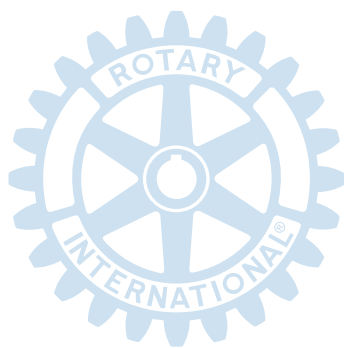
### Program Overview

A cornerstone of the Rotarian grant process is that each project is Rotarian initiated and Rotarian driven. As such, it is the Rotarians who come up with the grant ideas and tackling issues that are important to the project country. International sponsors work together with hosts on the ground to determine what issues are most important for the target benefitting community. Because of this, the number of grants in a particular area (health, education, community development) may shift from year to year. The amount of funding committed to each of these areas adjusts accordingly.

The background for each project is unique depending on the target beneficiaries, the kinds of grant activities implemented, the expected results, the project sustainability, and the lessons learned. The Rotary Foundation Canada and The Rotary Foundation (TRF) evaluate the grant applications on an individual basis. This process allows Rotarians the flexibility to develop grant projects that meet a targeted, individualized need in a particular benefitting community. The first step in developing a project is a community needs assessment, and one of the background principles is the measure of sustainability and provable benefits of the project.

The Foundation has seven areas of focus: basic education and literacy; disease prevention and treatment; maternal and child health; peacebuilding and conflict prevention; water, sanitation, and hygiene; economic and community development; and supporting the environment. This ensures that Rotarians' efforts are channeled into specific, targeted, and development areas, so that their grant work has a sustained impact. However, it also allows them great flexibility to meet the needs of the varied communities where Rotarians live, work, and support. The area of focus of peacebuilding and conflict prevention is not applicable under the Global Affairs Canada Grant Agreement with the Rotary Foundation Canada.





The Rotary Foundation has standardized performance metrics in each area of focus, but also permits Rotarians the opportunity to create project-specific measurements that are tailored to the project they have developed. This functionality was highlighted and fully discussed at the Rotary International (RI) meeting in Evanston with Ms. Jeeva Saraswathi. Each closed grant has identified key performance indicators that are accessible by clicking on the hyperlink on the spreadsheet within this comprehensive final report.

### **TRF Global Grant Application Process**

Once grants have received the initial prequalification approval from TRFC, the Rotarian host (project country) and international sponsors (Canadian clubs) must then complete a grant application with the TRF following the Foundation's traditional global grant application process. These grant applications are submitted through TRF's online global grant application system and are reviewed by TRF's Regional Grants Officers (RGO's) upon submission.

If the grant applications meet all TRF's requirements for global grants, they receive feedback from the RGO's. Once approved by TRF, and Rotarian sponsors complete all payment requirements, submitting bank account information and funding that has been committed to the project. After these requirements are completed, these moneys are disbursed along with all matching funding from the TRF and Global GAC Grant Funds, and then the project implementation commences.

### **Grant Summary Spreadsheet**

We have provided a summary spreadsheet which has a hyperlink to every approved grant from 2015 -2021. The spreadsheet is broken down by project year and project type (education, health or community development). This spreadsheet is intended to provide a snapshot with basic information for each grant, whereas the individualized reports accessed through the hyperlink on the spreadsheet contain detailed, grant-specific documentation.

A 5% service fee is levied against the cash contributions in any Global Grant. This 5 % fee is identified on the financial reporting but not reflected on the grant funding sheets. There is a variance in the figures agreed upon and the grant funds paid out. This 5% service fee supports the costs to TRF for administering Global Grants,

In the Grants/Status column, we have identified whether the grant is completed, in progress, and on track (meaning we have checked each grant to ensure completion is sustainable, and report whether it is interim or final on the RI grants system).



## Grant Reporting and Project Completion

Historically, Rotary projects take from 18 months to over two years to complete and submit final reporting. For those grants that have not yet been completed, grant sponsors are required to submit progress reporting 12 months from grant payment, providing an update on grant progress over the course of the past year. We have identified reporting dates within the spreadsheet and provided comments to that end.

Grant reporting must be submitted to The Rotary Foundation every 12 months until the grant is complete. Once a project has ended, all final reporting is due to The Rotary Foundation within two months of the grant's completion so that documentation can be reviewed and approved, and the grant can be closed.

Interim and final reporting includes joint information from both the project country host sponsors and the international sponsors. The Rotarians share progress on grant implementation and also indicate any changes or adjustments that may have arisen as the project was implemented. Financial reporting is also required, and the sponsors provide updated accounting information (including bank statements) to clarify how funding has been spent. The pandemic has created multiple challenges in getting the information required due to health restrictions in the developing countries and their ability to meet together and access the information required. However, through TRFC follow-up and encouragement through communication, we are pleased to advise that only two projects have outstanding interim reports.

Please note that according to the agreement between TRFC and GAC all the funds that have been provided by GAC for a Global Grant have been spent in the project by 30 March, 2021. The grant may not yet have been completed and final reports received, but all GAC funding has been spent and accounted for.

During this contract 131 grants were approved and 15 were cancelled for a variety of reasons. An example of a cancellation would be that the grant had an environmental impact that was contrary to Government of Canada policy on environmental issues in developing countries.

In the subsequent pages, we have provided an assessment regarding the impact health, education, and community development grants have made. In

addition, we have provided a detailed update for each of completed grants over the course of this program. These completed grant summaries capture the entire lifespan of the grant, the key performance indicators of the project, and the lessons learned by the Rotary sponsors and benefitting community.

For those grants that are not yet complete, we have noted in the grant summary spreadsheet that the grant is 'In progress: on track'. This means that Rotarians are currently in the implementation and operating phase of these projects and are submitting the requisite interim reporting to TRF. It is our understanding from GAC that as of March 31, 2021 we no longer need to report progress and outcomes to GAC but wish to once again emphasize that all the GAC funding was spent by the project by this date. However, as an organization that has a high degree of respect for accountability and donor money, we will continue to track to completion through TRF. All accepted interim and final reports are stored at Rotary International on the organization's online global grant application system and are available on request.

As with past years, this report is grouped by the grant's primary international development foci: health, education, and economic development. An overall assessment of these three focus areas has been included, updating the number of grants conducted in the respective area, as well as the project implementation locations and overall funding committed. This information serves to provide an update on the progress made in these three main development areas.

The individual grant summary accessible from the spreadsheet continues to provide the respective grant's current reporting status. In addition to an overview of the grant itself, information about the funding and specific project activities is included. Information is provided regarding the Rotary-specific global grant data, as well as the additional GOC requirements regarding governance, gender, environment, outcomes/key performance indications and best practices. The summary indicates how the project benefits will be sustained upon completion of the project.

## Trends and Impact of 131 Approved Grants

### Health Grants:

- Twenty-seven percent of the health grants focused on improving water sources and sanitation facilities
- Approximately 825,000 people from 11 countries gained access to potable water and sanitation
- Six out of 20 of the water grants were in Columbia
- Breakdown by region:
  - South America: two countries, seven grants > 35,000 beneficiaries
  - Asia: two countries, two grants > 9,500 beneficiaries
  - Africa: five countries, nine grants > 775,000 beneficiaries
  - Central America: two countries, 2 grants > 5,300 beneficiaries



### Maternal Healthcare Grants:

- Twenty-two approved grants focused on prenatal care and maternal health during and after birth
- Out of eleven grants that reported numbers, almost 5,500 mothers received care during their pregnancy or at birth
- The region most impacted by far was Africa, reporting 3,500 mothers in eight countries and 14 grants

### Capacity Building for Women:

- Over 2,600 women received training about loans aimed at expanding their skillsets, abilities, and economic statuses in 22 Economic Development and Education grants taking place in 12 countries
- Most of the overall grants were in Honduras (nine out of 22 grants)
- The regions most impacted by far were Central America and the Caribbean with 14 grants and affecting approximately 1,800 women
  - South America: one country, one grant > 25 women
  - Asia: two countries, three grants > 750 women
  - Africa: four countries, four grants > 62 women
  - Central America and Caribbean: five countries, 14 grants > 1,800 women





## Regions Impacted

- The most impact in the focus of Economic Development was in Honduras with seven out of 17 grants from 2015 to 2021
- Education had the most impact in Guatemala with five out of 41 grants
- The most impact in Health was in Columbia with 10 out of 73 grants in this category
- Breakdown by region:
  - South America: seven countries and 20 grants
  - Asia: seven countries and 15 grants
  - Africa: 14 countries and 46 grants
  - Europe: two countries and four grants
  - Central America and Caribbean: 10 countries and 46 grants

## Children Impacted

- Seventy-two grants focused on children across the three categories
- Combined child beneficiaries totaled approximately 150,000 children
- Breakdown by region:
  - South America: five countries, 11 grants, 11,000 children
  - Asia: five countries, nine grants, 7,000 children
  - Africa: 11 countries, 25 grants, 60,000 children
  - Europe: two countries, three grants, 1,000 children
  - Central America: six countries, 24 grants, 72,000 children

## Conclusion

It is our hope that this Fifth and Final report meets Global Affairs Canada's expectations for providing an overview of usage of all grant funding to March 31, 2021. Further, we are pleased to have provided in this report, trends that appear as a result of evaluating the data in the grants. This was broken down into health, maternal health care, capacity building for women, regions impacted, and children impacted.

This report we believe to be thorough, and captures the information that will be valuable for Global Affairs Canada to substantiate to the Canadian taxpayer.

Respectfully submitted,

Dean Rohrs, President  
*The Rotary Foundation Canada*  
Trustee, *The Rotary Foundation*

Betty L. Screpnek, Director  
*The Rotary Foundation Canada*  
Chair, Grants Committee (TRFC)

Please click [HERE](#) to download and review the Grant Summary Spreadsheet for all grants funded through the partnership from 2015 to 2021.

Throughout this document, whenever you see [HERE](#), it will take you to the Grant Summary Spreadsheet.

During the course of the partnership from 2015 to 2021, 17 global grants focusing on economic development have been approved by The Rotary Foundation, totaling USD 2,216,395.00. Each grant is hyperlinked in the Grant Summary Spreadsheet [HERE](#) which provides access to individualized grant reporting.

*To date, USD 397,820.00 has been committed to these ongoing grants.*

**2019-2020:** Four of the five global grants focusing on economic development submitted in the 2019-2020 Rotary year have been approved by The Rotary Foundation. All four are in progress. These projects are taking place in three countries:

Honduras	2	Costa Rica	1	Uganda	1
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*To date, USD 724,740.00 has been committed to these ongoing grants.*

**2018-2019:** All six of the global grants focusing on economic development submitted in the 2018-2019 Rotary year have been approved by The Rotary Foundation. All six are in progress. These projects are taking place in five countries.

Guatemala	2	Uganda	1	Honduras	1
Nepal	1	Nicaragua	1		

*To date, USD 300,508.00 has been committed to the ongoing grant, and USD 104,600.00 to the completed grant, for a total of USD 405,108.00.*

**2017-2018:** Both of the global grants focusing on economic development submitted in the 2017-2018 Rotary year have been approved by The Rotary Foundation. One is in progress and one has been completed. These projects are taking place or have taken place in one country:

Honduras	2
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*To date, USD 237,886.00 has been committed to the ongoing grants, and USD 42,263.00 to the completed grant, for a total of USD 280,149.00.*

**2016-2017:** All three of the global grants focusing on economic development submitted in the 2016-2017 Rotary year have been approved by The Rotary Foundation. Two are in progress and one has been completed. These projects are taking place or have taken place in three countries:

Honduras	1	Pakistan	1	Nepal	1
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*To date, USD 408,578.00 was committed to these grants.*

**2015-2016:** Both of the global grants focusing on economic development submitted in the 2015-2016 Rotary year have been approved by The Rotary Foundation and both have been completed. These projects took place in one country:

Honduras	2
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During the course of the partnership from 2015 to 2021, 41 global grants focusing on education have been approved by The Rotary Foundation, totaling USD 5,907,991.00. Each grant is hyperlinked in the Grant Summary Spreadsheet [HERE](#) which provides access to individualized grant reporting.

*To date, USD 1,697,346.00 has been committed to the ongoing grants and USD 73,000.00 to the completed grant, for a total of USD 1,770,346.00.*

**2019-2020:** Eleven of the fourteen global grants focusing on education submitted in the 2019-2020 Rotary year have been approved by The Rotary Foundation. 10 are in progress and one has been completed. These projects are taking place or have taken place in 10 countries:

Dominica	2	Kenya	1	Guatemala	1	Zimbabwe	1	Peru	1
South Africa	1	Belize	1	Brazil	1	Nicaragua	1	Philippines	1

*To date, USD 1,054,208.00 has been committed to the ongoing grants and USD 106,058.00 to the completed grant, for a total of USD 1,160,266.00.*

**2018-2019:** Six of the 10 global grants focusing on education submitted in the 2018-2019 Rotary year have been approved by The Rotary Foundation. Five are in progress and one has been completed. These projects are taking place or have taken place in six countries:

South Africa	1	Guatemala	1	Ecuador	1
Kenya	1	Belize	1	Dominica	1

*To date, USD 578,254.00 has been committed to the ongoing grants and USD 1,069,255.00 to the completed grants, for a total of USD 1,647,509.00.*

**2017-2018:** Twelve of the thirteen global grants focusing on education submitted in the 2017-2018 Rotary year have been approved by The Rotary Foundation, six are in progress and six have been completed. These projects are taking place or have taken place in 10 countries:

Guatemala	3	Jamaica	1	Republic of the	Belize	1	
Kenya	1	Morocco	1	Congo	1	Ukraine	1
Haiti	1	Democratic		Honduras	1	Peru	1

*To date, USD 548,109.00 has been committed to the ongoing grants and USD 353,620.00 to the completed grants, for a total of USD 901,729.00.*

**2016-2017:** All eight of the global grants focusing on education submitted in the 2016-2017 Rotary year have been approved by The Rotary Foundation. Four are in progress and four have been completed. These projects are taking place or have taken place in seven countries:

Zimbabwe	2	Jamaica	1	Togo	1	South Africa	1
Honduras	1	Malawi	1	Philippines	1		

*To date, USD 221,768.00 has been committed to the ongoing grants and USD 206,373.00 to the completed grants, for a total of USD 428,141.00.*

**2015-2016:** All four of the global grants focusing on education submitted in the 2015-2016 Rotary year have been approved by The Rotary Foundation. One is in progress and three have been completed. These projects are taking place or have taken place in four countries:

Cambodia	1	Honduras	1	Belize	1	Kenya	1
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During the course of the partnership from 2015 to 2021, 73 global grants focusing on health have been approved by The Rotary Foundation, totaling USD 7,109,036.50. Each grant is hyperlinked in the Grant Summary Spreadsheet [HERE](#) which provides access to individualized grant reporting.

*To date, USD 3,389,644.50 has been committed to the ongoing grants, and USD 50,125.00 to the completed grant, for a total of USD 3,439,769.50.*

**2019-2020:** Thirty-five of the forty global grants focusing on health submitted in the 2019-2020 Rotary year have been approved by The Rotary Foundation. Thirty-four are in progress and one has been completed. These projects are taking place or have taken place in 21 countries:

Colombia	5	Malawi	2	Armenia	1
Togo	3	Haiti	1	Bangladesh	1
Nepal	3	Belize	1	Venezuela	1
Jamaica	2	Bolivia	1	Costa Rica	1
Guatemala	2	Guyana	1	Nigeria	1
Mexico	2	Brazil	1	Cameroon	1
Uganda	2	Dominican	1		
Ghana	2	Republic			

*To date, USD 1,180,217.00 has been committed to the ongoing grants and USD 373,002.00 to the completed grants, for a total of USD 1,553,219.00.*

**2018-2019:** Fifteen of the sixteen global grants focusing on health submitted in the 2018-2019 Rotary year have been approved by The Rotary Foundation. Ten are in progress and five have been completed. These projects are taking place or have taken place in nine countries:

Uganda	4	Cameroon	2	Ethiopia	1
Colombia	2	Jordan	1	Togo	1
Guatemala	2	Ukraine	1	Ghana	1

*To date, USD 396,594.00 has been committed to the ongoing grants and USD 578,291.00 to the completed grants, for a total of USD 974,885.00.*

**2017-2018:** All 11 of the global grants focusing on health submitted in the 2017-2018 Rotary year have been approved by The Rotary Foundation. Six are in progress and five have been completed. These projects are taking place or have taken place in nine countries:

Mexico	3	Philippines	1
Benin	1	South Africa	1
Colombia	1	Côte d'Ivoire	1
Democratic	1	Ghana	1
Republic of the		Peru	1
Congo			

*To date, USD 330,422.00 has been committed to the ongoing grants, and USD 451,428.00 to the completed grants, for a total of USD 781,850.00.*

**2016-2017:** All eight of the global grants focusing on health submitted in the 2016-2017 Rotary year have been approved by The Rotary Foundation. Three are in progress and five have been completed. These projects are taking place or have taken place in seven countries:

Colombia	2	Ecuador	1	Malawi	1
Ethiopia	1	Thailand	1		
Kenya	1	Nepal	1		

*To date, USD 154,500.00 has been committed to the ongoing grant, and USD 204,813.00 to the completed grants, for a total of USD 359,313.00.*

**2015-2016:** All four of the global grants focusing on health submitted in the 2015-2016 Rotary year have been approved by The Rotary Foundation. One is in progress and three have been completed. These projects are taking place or have taken place in four countries:

Ghana	1
Togo	1
Nepal	1
Ukraine	1

From the submission of the Fourth Annual Report in September 2019 to May 2021, 26 grants were completed and closed. To put this number in perspective, over the course of the partnership from 2015 to 2021, 38 total grants were completed and closed. Thus, 68% of all closed grants over the lifetime of the partnership have been completed since September 2019. Each completed grant is hyperlinked in the Grant Summary Spreadsheet [HERE](#) which provides access to individualized grant reporting.

### Economic Development

Two of the three grants focusing on economic development that have closed since the submission of the Fourth Annual Report took place in Honduras and the third took place in Nepal. The Honduran grants were two phases of a single project by the Rotary Clubs of Victoria-Harbourside and San Miguel de Heredia, Tegucigalpa in cooperation with the Honduran NGO Alternativas y Oportunidades. These grants focused on providing internships and employable skills training to young adults, with a specific focus on the empowerment and training of young women. The Nepalese grant had similar goals and focused on job training and skill acquisition for young women as well.

#### Grants:

- GG1640663
- GG1746726
- GG1875137

### Education

Of the nine grants focusing on education that have closed since the submission of the Fourth Annual Report, 33% took place in Africa, 22% in Central America, and 11% each in Europe, South America, the Caribbean, and Asia. Sixty-six percent of the grants involved upgrading existing learning facilities. Sixty-six percent included teacher training in new pedagogies and technologies. One grant concerned the improvement of disabled children's education. Twenty-two percent of the grants included a focus on employable skills training for youth to augment their education and career opportunities.

#### Grants:

- GG1413848
- GG1866739
- GG1987039
- GG1754904
- GG1752923
- GG1640267
- GG1745812
- GG2095186
- GG1861317

### Health

Of the 14 grants focusing on health that have closed since the submission of the Fourth Annual Report, 71.5% took place in Africa, 21.5% in South America, and 7% in Central America. Thirty-five percent of the grants focused on community health education, with three of those also providing improved sanitation and water sources. Fifty percent were primarily focused on providing medical equipment to upgrade the capacity of existing medical institutions, most of which included a training component in new technology for healthcare staff. Fifty percent of the grants explicitly focused on the welfare of women and children. Fourteen percent of the grants included some form of direct medical intervention in the form of procedures or other medical treatment.

#### Grants:

- GG1745203
- GG1866385
- GG1860336
- GG1759950
- GG1866929
- GG1634114
- GG1755901
- GG1873054
- GG1749362
- GG1987097
- GG2092013
- GG1867183
- GG1979089
- GG1978053



### GAC FUND NUMBER OF GRANTS

	# of Grants
<i>Total Approved by the Grant Team:</i>	
FY16 (2015-2016)	4
FY17 (2016-2017)	10
FY18 (2017-2018)	29
FY19 (2018-2019)	24
FY20(2019-2020)	35
Subtotal - Approved as of 30 June	102
<i>Total Reviewed / Approved post-FY20</i>	
Approved	31
Withdrawn - GAC fund removed	1
Cancellation	9
Subtotal - FY21 (2020-2021) Grants	41
Total Global Grants Submitted by TRFC	143

### GAC FUND (IN \$CAD)

	Amount (CAD)	# of Grants	Amount (USD)
<b>Ending Balance as of 30 June 2020</b>	<b>1,582,917</b>		
Approved by the Grants Team	(1,354,283)	31	(1,037,631)
Consulting	(8,173)		
Final Report	(1,728)		
<b>Fund (Over)/Under as of 19 May 2021</b>	<b>218,732</b>		

Financial statements are available upon request.

Activity is in CAN\$								
<b>GAC Statement of Activity</b>								
<b>As of May 31, 2021</b>								
	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>	<b>2021</b>	<b>Total</b>
<b>Income</b>								
Contributions	1,200,000.00	1,200,000.00	-	1,200,000.00	2,400,000.00	-	-	6,000,000.00
Interest	-	5,711.41	10,534.72	19,485.43	40,195.82	46,068.89	1,339.63	123,335.90
	<b>1,200,000.00</b>	<b>1,205,711.41</b>	<b>10,534.72</b>	<b>1,219,485.43</b>	<b>2,440,195.82</b>	<b>46,068.89</b>	<b>1,339.63</b>	<b>6,123,335.90</b>
<b>Expense</b>								
Awards		(118,769.41)	(427,677.66)	(1,084,466.48)	(1,141,440.16)	(1,351,686.67)	(1,289,793.12)	(5,413,833.50)
Administrative		(5,938.47)	(21,383.88)	(54,223.35)	(57,072.01)	(67,584.34)	(64,489.66)	(270,691.71)
2018 Peace Summit				(246,124.00)				(246,124.00)
Travel						(2,106.00)		(2,106.00)
Consultants			(2,900.50)	(1,330.56)	(2,887.69)	(2,215.35)	(6,453.90)	(15,788.00)
Projected Final Consultant Costs							(1,719.48)	(1,719.48)
Projected Final Report Expenses							(1,728.19)	(1,728.19)
FX Adjustment							47,387.31	47,387.31
	<b>-</b>	<b>(124,707.88)</b>	<b>(451,962.04)</b>	<b>(1,386,144.39)</b>	<b>(1,201,399.86)</b>	<b>(1,423,592.36)</b>	<b>(1,316,797.04)</b>	<b>(5,904,603.57)</b>
Balance	<b>1,200,000.00</b>	<b>1,081,003.53</b>	<b>(441,427.32)</b>	<b>(166,658.96)</b>	<b>1,238,795.96</b>	<b>(1,377,523.47)</b>	<b>(1,315,457.41)</b>	<b>218,732.33</b>

*Financial statements are available upon request.*

## Rotary International Grants and Finance Perspective of Successes and Challenges



Rotary International has a number of guiding principles. It is important as Rotarians, and our organization, that we have open communications with all of our partnerships. Disclosure is key to building strong and lasting relationships. This is our rationale for describing some of our challenges delivering this program. Challenges provide opportunities that we plan on implementing.

This document is a reflection of how operations evolved in Rotary International's grants and finance department in order to administer grants with Government of Canada (GOC) funding as part of the grant matching process.

None of these challenges are insurmountable. We are so pleased RI staff have identified these issues. And what is most important, they have identified solutions!

As a result of these identifiers, we have developed a number of best practices that will benefit a future partnership such as this one for Rotary International and The Rotary Foundation Canada (TRFC).

### Successes

- Greater volume of grants, high quality and geographic diversity using the GOC funds were reflected in the projects
- Communication between TRFC and The Rotary Foundation (TRF) was good, particularly well-coordinated over the last eight months
- Development of Best Practices for the present and future of systems and work flow
- Recognition by grants staff on how hard our volunteers work to deliver these projects around the world
- Recognition by RI staff on the benefits of developing these partnerships with governments around the world
- Increased the quality of reporting from our clubs through setting a criterion for outcomes through Key Performance Indicators.



## Challenges

### Structural:

- Management of the program involved numerous manual procedures of this fund, as it was impossible to integrate into existing grant and financial systems in a streamlined way
- Administrative costs exceeded what was set aside from this 'gift'; significant amount of staff time across multiple teams was required to administer this funding source compared to regular global grants
- Impacts on Regional Grants Officers (RGO) as these grants had worldwide reach and were not able to consolidate to certain RGO's
- Exchange rates between CAD and USD had an impact on tracing financials due to fluctuations in rates and time of disbursement of funds to the projects

### Procedural:

- Two levels of approval between TRFC and TRF was occasionally confusing for the sponsors; TRFC reviewed applications to determine if the grants were eligible for GOC funding. If so, the sponsors would move forward with applying to TRF for the next level of approval and matching funds
- A procedural guide had to be created for all steps upon grant entry on the RI tracking system due to the need for a number of manual steps on a GOC grant
- Grants needed to manually communicate with finance to advise accounts receivable to move the GOC funding to the grant; multiple steps involved

### System challenges:

- RI's system referred to as Smart Simple cannot easily track this subset of grants as there is no indicator to denote it is a GOC fund grant
- Global grant report does not request the reporting information that the GOC needs, therefore TRFC has to do a 'deep dive' into each grant report to extract the relevant information



## Project Oversight: Global Affairs Canada and The Rotary Foundation Canada



In discussion with Global Affairs Canada (GAC), it was agreed that it would be advantageous for GAC and TRFC to provide oversight by visiting four projects, preferably in Columbia and Peru. Rotary International had oversight on some projects by having qualified Rotarians (cadre) visit them to ensure the financials were in order, what was being delivered was in fact occurring, and other key performance indicators were evident. We were not surprised by GAC's request to review, thereby ensuring accountability of the projected outcomes of a grant.

To that end, two projects in each respective country, Columbia and Peru were chosen. The best way to tell the 'story' is to review the report as it appeared in the Rotary Canada monthly magazine April, 2020. This issue was distributed to 25,000 Canadian Rotarians. Reprinted with permission.



# RotaryCanada

*A new leaf  
Un nouveau feuillet*

*April 2020  
Avril 2020*

## **An adventure in Rotary**

*Visiting global grant projects  
in Colombia and Peru*

## **Community trust**

*For Judie and Michael Bopp,  
there are no ordinary people*

## **Un club francophone dynamique au Nouveau-Brunswick**

Rotary



# An adventure in Rotary

by DEAN ROHRS



COURTESY OF DEAN ROHRS

In Colombia, where they visited global grant projects sponsored by the partnership between The Rotary Foundation (Canada) and Global Affairs Canada, Dean Rohrs and Betty Screpnik signed two newly made biosand water filters. Below: Rohrs (left) and Screpnik stand along the Amazon River in Peru.

In January, five years into the \$6 million partnership between The Rotary Foundation (Canada) and Global Affairs Canada (GAC), I had the opportunity to travel to two countries I had never visited before: Peru and Colombia. I was joined in my travels by Betty Screpnik. Like me, Betty is a director of The Rotary Foundation (Canada), and we are co-chairs of its grants committee. We would be looking at four projects — two that had been completed and two that were still underway — where GAC funding had been used for global grants initiated by Canadian Rotary clubs. We were excited to begin this new adventure.

Betty and I met at the airport in Lima, Peru, and flew on to Arequipa, which lies at a high altitude and is surrounded by four snow-capped volcanoes: Ampato, Chachani, Misti, and Pichu Pichu. We were welcomed by a delegation of enthusiastic Rotarians led by Erick Pumacayo Rocha and Yolanda Leyton Muñoz, members of the Rotary Club of Yanahuara-Arequipa.

The next morning, we visited Colegio Secundario de Señoritas Jesús María San Martín de Porres, a private Catholic high school that educates girls and women from marginalized areas in Arequipa. In partnership with the Rotary Club of Québec-Charlesbourg, the Yanahuara-

Arequipa club is helping to improve students' information technology skills. The global grant provided computers, projectors, software programs, and training. Today, more than 160 students are able to work interactively in the classrooms. What's more, the school installed a state-of-the-art laboratory in the second phase of the global grant — a drop of



water in a pond producing ever-increasing ripples.

As is common in Rotary, the hospitality of our hosts was legendary. We were wined and dined royally and had the opportunity to meet many more Arequipa Rotarians. It was Three Kings Day, so the central square with its stunning

cathedral was filled with people, lights, music, and energy. We were sad to leave early the next morning, but exhilarated to see how Rotary and Rotarians connect the world and uplift communities.

From Arequipa, Betty and I flew (once again via Lima) to Iquitos in northeastern Peru. From the moderate to cold temperatures of the dry, high plateau we descended into the heat, humidity, and jungles of the Amazon. Rotarians from the Rotary Club of Iquitos Amazonas met us at the airport and drove us to our hotel. We met with Nélida Valencia Coral, a past president of the club; Norma Bartens Estrella, the club's current president; and other members. In the morning, we were driven to the ferry that would transport us along the Amazon River to our next destination.

A work in progress, this second global grant project — a partnership between the Iquitos Amazonas club and the Rotary Club of Castlegar, British Columbia — is a nutritional education training program in 12 villages in Peru's Fernando Lores District. It uses a multipronged approach of health, nutrition, and composting in the villages, where the soil is poor, invasive insects are plentiful, the residents' diet lacks vegetables, and children have a high incidence of anemia. The program focuses on training two health workers from each community in basic nutrition

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and health care, with a special focus on child and maternal health, including midwifery and prenatal care. These volunteer health workers are trained in composting and in building and maintaining basic vegetable gardens. They are provided with seeds for hot and sweet peppers, lettuce, a local variety of cilantro, spinach, cucumbers, and tomatoes. They also distribute Açai berry corms (a root system similar to a bulb) and yucca tubers, encouraging villagers to use fresh yucca fronds as a kind of spinach.

The project has had challenges. Rotarians initially approached 27 communities, but only 12 signed on to have representatives trained. Of the 12, only five have followed through on the complete training program — and of those five communities, two are an unqualified success and the others are in various stages of progress.

Rotarians are partnering with APECA (Association Promoting Education and Conservation in Amazonia). Representatives from APECA served as our guides.

In one community, we saw contrasting results — one garden in disarray, a second well organized and full of compost. We also inspected the community's water supply, a large spring-fed pond where children swam, women washed their dishes and clothes, and cows and dogs drank. The community has no potable water.

We spent that night upriver at an APECA compound with a flushing toilet, a shower, and screened-in walls. We fell asleep to the sounds of frogs and night birds, and breakfasted the next morning on the most incredible chicken soup. Back on the river, we travelled through lush jungle to Alianza, a village of about 20 families. Residents had been working with APECA and the Rotary Club of Castlegar for a number of years, and you could see the influence of their projects on this community. The Castlegar club had completed a grant providing clean, potable water, and the village has a generator for electricity, a primary school, and reduced incidences of malaria. What they need are toilets, showers, and

especially (if you talk with the women in the village) cookstoves to replace their kitchen fire pits.

Back in Iquitos, we spent one last evening with the local Rotarians. We sadly said goodbye to them and to Peru as we flew to Bogotá, Colombia, to meet Emily Royal, a representative of Global Affairs Canada, and continue to Medellín and the next project.

In Medellín — a modern, prosperous-looking city — we were met by Stella Villegas, the secretary of the Rotary Club of Medellín Occidente. Partnering with the Rotary Club of Québec-Charlesbourg, the club had completed a global grant project that worked with families — many of them displaced by violence in other parts of the country — to reduce the incidence of illness and suicide among children. In the past, the highest rate of suicide here was among children from six to 10 years old, a statistic that moved me beyond words. Since the program began, the suicide rate among children of those ages has decreased by 70 per cent.



Over two weeks in January, Rohrs and Screpnek visited several projects in Peru and Colombia; they were joined in Colombia by Emily Royal (opposite page, second from right in bottom left photo), a representative of Global Affairs Canada. Throughout their journey, enthusiastic Rotarians from sponsoring clubs served as hosts and guides.

The beneficiaries of this program were from a dangerous neighbourhood that was ruled by gangs and served as a centre of recruitment for the drug lords. More than 300 parents and their children completed the program, which included job counselling and training in health, nutrition, and the prevention of AIDS and HPV. Many of the parents who participated went on to complete their education and land good jobs, and they are working to sustain the program by training the next set of parents. In the meantime, the neighbourhood has turned around as residents have driven out the drug recruiters and the gangs and created a safe and clean community.


From Bogotá we flew west to Ibagué, a city in the Colombian Andes. At the airport we were greeted by another fervent group of Rotarians, members of the Rotary Club of Nuevo Ibagué. They drove us immediately to a facility where biosand water filters are manufactured. The facility is made available to Rotarians by a local organization working to bring clean

water to Ibagué and its surroundings. It not only provides the space free of charge, but also assists in the transportation and delivery of the filters. Because of those cost savings, Rotarians financed about 1,200 biosand filters — 400 more than was budgeted for in the global grant.

At the facility, we saw the filters being made, and Betty and I even scrawled our names in the still-wet concrete of two new filters. We were introduced to the two field representatives (one of them a Rotaractor) who go into the communities and train and support the recipients on how to use and maintain the filters.

Early the next morning, we headed into the mountains and visited about a dozen families who had received the filters. The project representatives knew every member of every family; they even knew the names of the cats and dogs. You could see that their visits are welcomed and that the families have a great deal of respect for them and the program. Rotary and those connected to Rotary shone very brightly that day.

We ended our stay in Ibagué with a meeting of past district governors, incoming leadership, and many Rotarians from the surrounding clubs. It was a lovely way to conclude our stay in Colombia. In the days that followed, I had an opportunity to look back on our adventure. Although it was physically challenging and we struggled with not speaking Spanish, the experience was far beyond anything I had ever imagined. To see global grant projects that reflect the dreams of others, and to see how each of the Canadian clubs strives to improve the lives of people in communities many of them would never visit, incomparably illustrated exactly what Rotary is about: Service Above Self.

These projects could never have been carried out the way they were without the generous funding from Global Affairs Canada. Sharing this journey with Emily, one of its representatives, gave Betty and me the opportunity to showcase the spirit, integrity, and huge heart of Rotary. As always, I am very proud to be a Rotarian. 



The Rotary Foundation Canada (TRFC) partnership with the Government of Canada (GOC) has become well known across Canada as well as internationally. We ensure existing projects in the developing countries know, through our Canadian Rotarians volunteering, that the Government of Canada has contributed financially. We are truly the envy of other countries who do not have their government support to do good in the world like we have in this partnership.

Throughout the five and a half years of delivering this program, there have been many ways in which our Canadian government was highlighted. Some of them are:

- Webinars to 43 Rotary districts across Canada
- Call letters in English and French to Rotarians
- Post cards featuring the program distributed at annual District Conferences
- Presentations at fundraising events

We are proud to talk about this program wherever we can. As an example, we are able to take \$6 million and match with Rotarian dollars to create an impact of over \$15 million. Rotary volunteers in our global organization work with Rotarians in other countries and create on-going sustainable change for the betterment of lives.

Below are a few examples of videos of projects that had Government of Canada (GOC) money attached to the projects. As well, articles below, featured in the Rotary Canada magazine, can be seen by 25,000 Canadian Rotarians and their families. Stories on Facebook have been frequently posted to tell the story.

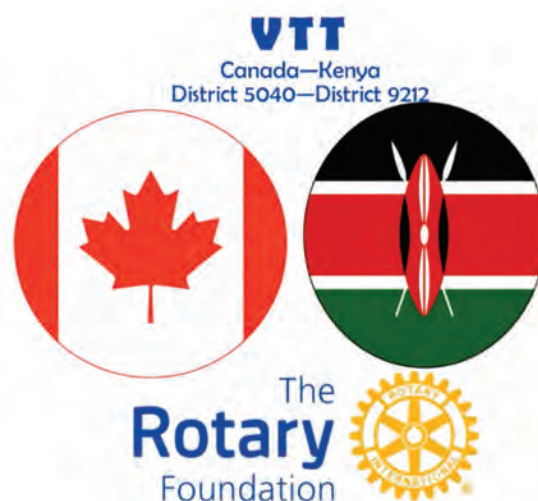
### Examples:

**GG1983713**  
*Nicaragua Reef and  
Aquaculture Farm*



- Video: <https://vimeo.com/521440501/23f7df3cc7>
- Template of details on the finished project  
<https://www.dropbox.com/scl/fi/hrtr2mic3ujbs36dn8f0v/GG1983713.docx?dl=0&rlkey=6o9xxhgoigfog1xrh7d2dzv52>
- \$35,000 USD GOC resulted in \$122,500 USD after matching dollars.

**GG1981495**  
**Vocational Training**  
**Team Kenya**



- Video: [https://youtu.be/imzuX42\\_hFk](https://youtu.be/imzuX42_hFk)
- Link to template with facts:  
<https://www.dropbox.com/scl/fi/6gyekmrgqy4ig3p95ok77/GG1981495.docx?dl=0&rlkey=l2jtu13504f8yl9s414528wvi>
- GAC \$35,700 > \$109,500 USD



Stories related to Government of Canada grant funding that are posted on The Rotary Foundation Canada website are also linked to Facebook. Articles that appeared in Rotary Canada magazine were also posted on TRFC Facebook page.

**Rotary Canada Magazine** – circulation 25,000

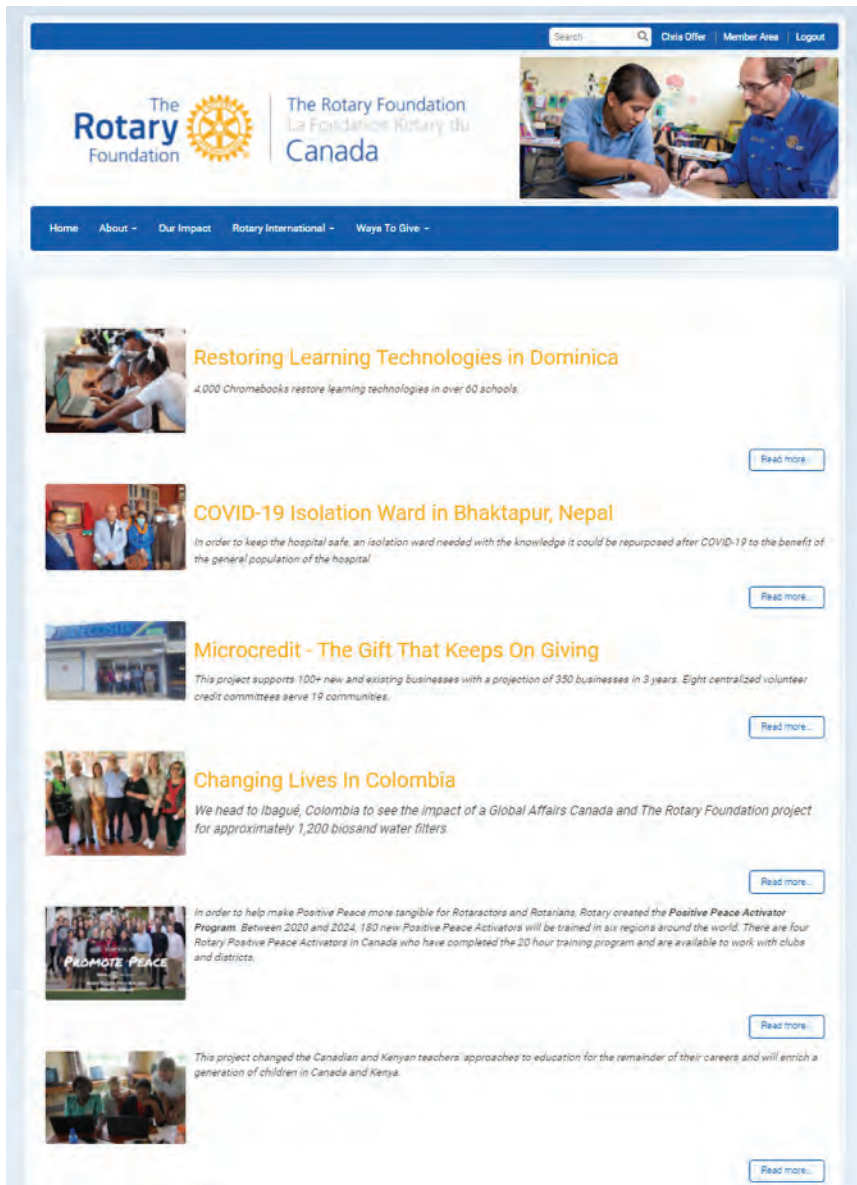
- April 2020 – article on project audits in Colombia  
[https://magazine-ca.rotary.org/rotarycanada/april\\_2020](https://magazine-ca.rotary.org/rotarycanada/april_2020)
- January 2020 – article on Rotary Foundation Canada with reference to Government of Canada grants  
[https://magazine-ca.rotary.org/rotarycanada/january\\_2020](https://magazine-ca.rotary.org/rotarycanada/january_2020)

**Newspaper Article** – Lake Country Calendar – Vernon, B.C. May 10, 2021

<https://www.lakecountrycalendar.com/news/vernon-rotary-partners-up-to-aid-literacy-in-rural-zimbabwe/>

## Stories Featuring Support with Government of Canada Funding

Rotary Foundation Canada website: [www.TRFCanada.org](http://www.TRFCanada.org)



### Colombia

[Changing Lives in Colombia | The Rotary Foundation Canada \(trfcanda.org\)](http://www.trfcanda.org)

### Dominica

[Restoring Learning Technologies in Dominica | The Rotary Foundation Canada \(trfcanda.org\)](http://www.trfcanda.org)

### Nepal

[COVID-19 Isolation Ward in Bhaktapur, Nepal | The Rotary Foundation Canada \(trfcanda.org\)](http://www.trfcanda.org)

### Kenya

[Vocational Training Team - Canada to Kenya in 2019 | The Rotary Foundation Canada \(trfcanda.org\)](http://www.trfcanda.org)



## Annex 1:

# Partnership for Community Development Pre-Qualification Application Form and Accompanying Participant Guidelines



## Partnership for Community Development Pre-Qualification Application Template

**Note:** This is a fillable form. Please type in the boxes provided. They will expand as needed.

### SECTION 1: Identification and Description

GG Number:

Host Country:

Sponsoring Club:

#### 1.1 APPLICANT INFORMATION

Club Name:

District:

##### Contact Person

Name:

Telephone:

Email:

##### District Foundation Chair

Name:

Telephone:

Email:

## 1.2 PROJECT INFORMATION

**Name of Project:**

**Location:**

*Please identify in which country, province, town, this project will take place.*

**Purpose and Nature:**

*Please describe in one or two paragraphs the purpose and nature of the project that will be undertaken. (Similar to the explanation you will use on the Global Grant application)*

## 1.3 QUESTIONS

Does the project include the purchase of any individual pieces of medical equipment where the cost exceeds CAD \$100,000?

YES ☐

NO ☐

Does the project include any provision for scholarship(s)?

YES ☐

NO ☐

Does the project include any construction?

YES ☐

NO ☐

If project includes construction, does this involve the renovation of any existing physical structures?

**Financial Request:**

Please identify the total budget value for the project in USD, the amount to be contributed by the club, any DDF that will be requested for the project, and the amount of Government of Canada and Global Grant (GG) funding you wish to request.

## 1.4 FUNDING

### Calculator for Global Grant with Government of Canada money

A> Rotary Club Cash	\$
B>Cash coming from clubs outside Canada	\$
C> TRF 5% administrative fees on "cash" money**	\$
D> DDF from a District in Canada	\$
E>DDF from a District outside Canada	\$
F> GOC Funds (\$1 to \$1 For A +D)	\$
G> World Fund (\$1 to \$2 for A+B+F)	\$
H> World Fund (\$1 To \$1 For D+E)	\$
<b>Sub total</b>	\$
Donation with NO MATCH FROM TRF (NEITHER TRFC)	\$
<b>Total NET available for project</b>	\$
<i>* All amounts are in US dollars</i>	
<i>**TRF 5% administrative fees is not included in the total</i>	

## SECTION 2: Project Gender Equality

### INTRODUCTION:

The Rotary Foundation (Canada) is committed to advancing gender equality through this program. We have chosen two specific goals:

1. Greater equal participation of women together with men as decision makers in shaping the sustainable development of their societies; and
2. Reducing the inequality between women and men in access to and control over the resources and benefits of development.

As part of the pre-qualification process, it is required that:

1. The community needs assessment includes an analysis of the underlying causes of gender inequality; and
2. The project design includes two or more activities that would address these causes of gender inequality. Please include what you expect to achieve – the “outputs” and “outcomes” – by implementing these activities.

Please use this part of the form to identify these two requirements. Please note that upon approval of your project you will need to develop and provide TRFC with a performance measurement framework that includes qualitative and quantitative indicators to track progress in achieving the (gender equality) outputs and outcomes. So, give some thought as to what those indicators may be to facilitate gathering some baseline (current situation) data as you complete the community needs assessment.

### Goal 1: Decision Making

More equal participation of women with men as decision makers in shaping the sustainable development of their societies.

#### 2.0 Capacity for Public Participation

Indicate the current capacity of women’s participation in public life and decision making relative to that of men. Include women’s organizations that advocate for participation in public life and decision making. Please identify the project team’s understanding of the underlying causes of the current circumstance.

**2.1** Is the capacity for women’s public participation (relative to men’s) an issue that your project will work to improve? If so, please indicate the project activities that will be implemented to advance that goal and why you have so chosen.

**2.2 Representation among Decision Makers**

Indicate the proposed representation of women and men in decision making positions within the project and why you have chosen to do so.

**2.3 Household and individual Decision-Making**

Explain the power relationships between women and men at the household level. Include decision making powers for individual women, relative to men. Please indicate the project team’s understanding of why the current circumstance prevails.

**2.4** Is household and individual decision making an issue that your project will work to improve? If so, please indicate the project activities that will be designed to address gender equality and why you have chosen those particular activities.

**Goal 2: Development Resources and Benefits**

Reduced inequalities between women and men in access to and control over the resources and benefits of development.

**2.5 Livelihood and Productive Assets**

Describe the productive assets (land, capital/credit, technology, skills) that women have control over relative to men, Indicate the access women have to paid employment. Please explain the project team’s understanding of the current circumstance.

**2.6** Are livelihoods and productive assets an issue your project will work to improve? If so, please indicate the project activities that will be undertaken to address gender equality and why you have chosen those specific activities.

**2.7 Well-being and Basic Needs**

Describe women’s access to basic and appropriate services that support well-being and quality of life relative to men’s access.

**2.8** Is the well-being and the basic needs of women an issue that your project will work to improve? If so, please indicate the project activities that will be implemented to address gender equality and why you have chosen them.

SECTION 3: Environmental Sustainability Assessment

**3.0** Are you constructing, expanding, operating, or demolishing any human-made structure that has a fixed location or will your project directly lead to any of these things (e.g. buildings, roads, latrines, wells or water supply systems, ponds, mines, etc.)? Construction projects include any activity that will result in a physical work; anything that is human-made and has a fixed location.

YES ☐ NO ☐

**IF YES**, please complete the remainder of this form as well as the Environmental Sustainability Form and forward to TRFC for the program committee’s review. **IF NO**, please go to Section 4.

**3.1** Briefly describe the physical environment in the area of your proposed project (e.g., relevant terrain, climate, water availability, soil quality, land use, resource use, important ecological or cultural features, etc.)

**3.2** What potential effects could your project have on the physical environment (negative and positive, immediate and long-term effects)?

**3.3** Could your project lead to another project that may have an impact on the environment (positive or negative). financing for a small business that will lead to construction of a physical structure). If yes, describe the impact this incidental project may have on the environment.

## SECTION 4: Governance

**4.0** Does this project support the most vulnerable and marginalized populations? Please type in the boxes. They will expand as needed as you type.

**4.1** Does this project promote democracy and international human rights standards?

**4.2** Has this project been discussed by national priorities and/or consultations with beneficiaries?

**4.3** How will this project integrate good governance?

## SECTION 5: Project Outcomes

**5.0** Please identify the overall results or outcomes this project is intended to achieve.

**5.1** Please identify at least two measures you will use to demonstrate the successful achievement of intended results or outcomes.

**5.2** Please indicate the measures you will use to demonstrate successful achievement of the gender equality results or outcomes identified in Part Two.

## IMPORTANT:

Please send this pre-qualification form to: [grantstrfc@gmail.com](mailto:grantstrfc@gmail.com)

In the title line please enter: your GG number, the country in which the project will be done and the name of your club as it appears on the front page. That format is used to file all the related communication about your request.

**GG Number:**

**Country:**

**Sponsoring Club:**

To avoid potential questions from the TRFC Grants Committee members, we strongly suggest you join your GG form to the pre-qualification form.

The Rotary Foundation Canada  
Grants Committee

## Partenariat pour le développement communautaire Formulaire de pré-qualification

*Veuillez écrire ici. La boîte de texte s'agrandira au besoin.*

### SECTION 1: Identification et Description

Numéro de la subv. mondiale:

Pays:

Club parrain:

#### 1.1 INFORMATION DU REQUÉRANT

Nom du club:

District:

##### Personne-ressource

Nom:

Numéro de téléphone:

Courriel:

##### District Foundation Chair

Nom:

Numéro de téléphone:

Courriel

## 1.2 INFORMATION SUR LE PROJET

*Note: Please provide Canadian club name or district*

**Nom du projet:**

**Localisation:**

*Veillez identifier le pays, la province et la ville où le projet se déroulera*

**Objectif et nature du projet:**

*Veillez svp décrire en un ou deux paragraphes l'objectif et la nature du projet qui sera entrepris. (Explication semblable à celle que vous utiliserez pour le formulaire de la subvention mondiale)*

## 1.3 QUESTIONS

Est-ce que le projet inclue l'achat de pièces d'équipement médical individuelles dont le coût excéderait CAD 100,000\$?

OUI ☐

NON ☐

Est-ce que le projet inclut une provision pour une/des bourse(s)?

OUI ☐

NON ☐

Est-ce que le projet inclut de la construction??

OUI ☐

NON ☐

Si le projet inclue de la construction, cela implique-t-il la rénovation de structures déjà existantes?

**Requête financière:**

*Veillez svp identifier la valeur du budget total du projet en dollars US, le montant contribué par le club, tout FSD que sera demandé pour le projet, ainsi que le montant du financement que vous souhaitez demander au Gouvernement du Canada et pour la subvention mondiale.*

## 1.4 FINANCEMENT

### Calculateur des Subventions Mondiales avec l'argent du Gouvernement Canadien

A> Argent comptant*	\$
B> Argent comptant provenant de l'extérieur du Canada**	\$
C> Frais d'administration sur l'argent comptant	\$
D> DDF provenant d'un District du Canada	\$
E>DDF provenant d'un District hors Canada	\$
F> Fonds du Gouvernement (\$1 pour \$1 pour ligne C+ D)	\$
G> Fonds Mondial (1\$ pour chaque 2\$ pour A+B+F)	\$
H> Fonds Mondial \$1 pour \$1 pour \$1 pour D + E	\$
<b>Total disponible</b>	\$
Don sans contepartie de LFR ni du Gouvernement	\$
<b>Montant total disponible pour le projet</b>	\$
* Tous les montants sont en dollars US	
**Les frais d'administration ne sont pas inclus dans le total	

## SECTION 2: Egalite Des Sexes Du Projet

### INTRODUCTION:

La Fondation Rotary (Canada) s'est engagée à faire avancer l'égalité des sexes par ce programme. Nous avons choisi deux buts spécifiques:

1. Une plus grande participation des femmes avec les hommes en tant que décideurs dans l'élaboration du développement durable de leurs sociétés; et
2. réduire l'inégalité entre les femmes et les hommes dans l'accessibilité et le contrôle des ressources et des avantages de développement. .

Dans le cadre du processus de pré-qualification, il est demandé que:

1. L'évaluation des besoins de la communauté inclut une analyse des causes sous-jacentes de l'inégalité des sexes; et
2. le design du projet inclut deux ou plusieurs activités qui aborderaient ces causes d'inégalité des sexes. Veuillez svp inclure ce que vous comptez accomplir (les résultats) en mettant en place ces activités.

Veuillez utiliser cette partie du formulaire pour identifier ces deux exigences. Veuillez noter que suite à l'approbation de votre projet, vous devrez créer et fournir à la Fondation Rotary Canada (TRFC) un cadre de mesure de performance qui inclue des indicateurs de qualité et de quantité afin de suivre la progression de l'atteinte des résultats (inégalité des sexes). Réfléchissez à la forme que ces indicateurs doivent prendre afin de faciliter la cueillette des données de base (situation actuelle) alors que vous complétez l'évaluation des besoins de la communauté.

### Premier but: Prise de décision

Une participation plus égale des femmes avec les hommes en tant que décideurs dans l'élaboration du développement durable de leurs sociétés.

#### 2.0 Capacité de la participation publique

Indiquez la capacité actuelle de la participation des femmes dans la vie publique et la prise de décisions par rapport à celle des hommes. Inclure les organisations de femmes qui préconisent la participation publique et la prise de décisions. Veuillez identifier la compréhension des causes sous-jacentes de la situation actuelle par l'équipe du projet.

**2.1** Est-ce que la capacité de la participation publique des femmes (par rapport à celle des hommes) est une problématique que votre projet travaillera à améliorer? Si oui, veuillez indiquer les activités du projet qui seront mises en place afin de faire avancer ce but et pourquoi vous avez choisi de le faire.

## **2.2 Représentation parmi les décideurs**

Indiquez la représentation proposée des femmes et des hommes dans des positions de prise de décisions au sein du projet et pourquoi vous avez choisi de le faire.

## **2.3 Décisions individuelles et familiales**

Expliquez les relations de pouvoir entre les femmes et les hommes au niveau familial. Inclure les pouvoirs de prise de décision de chaque femme par rapport aux hommes. Veuillez indiquer la compréhension de l'équipe du projet des raisons pour lesquelles ces circonstances actuelles sont toujours présentes.

**2.4** Est-ce que la prise de décision familiale et individuelle est une problématique que votre projet travaillera à améliorer? Si oui, veuillez indiquer les activités du projet qui seront mises en place pour traiter l'égalité des sexes et pourquoi vous avez choisi ces activités spécifiques.

## **Deuxième but: Ressources de développement et avantages**

Réduire les inégalités entre les femmes et les hommes dans l'accessibilité et le contrôle des ressources et des avantages de développement.

## **2.5 Moyens de subsistance et de production**

Décrivez les moyens de production (terre, capital/crédit, technologie, habiletés) pour lesquels les femmes ont du contrôle par rapport aux hommes. Indiquez l'accessibilité des femmes à un emploi rémunéré. Veuillez expliquer la compréhension de l'équipe du projet des circonstances actuelles.

**2.6** Est-ce que les moyens de subsistance et de production sont une problématique que votre projet travaillera à améliorer? Si oui, veuillez indiquer les activités du projet qui seront mises en place pour traiter l'égalité des sexes et pourquoi vous avez choisi ces activités spécifiques.

## **2.7 Bien-être et besoins de base**

Décrivez l'accessibilité des femmes par rapport aux hommes aux services de base appropriés qui supportent le bien-être et la qualité de vie.

**2.8** Est-ce que le bien-être et les besoins de base des femmes sont des problématiques que votre projet travaillera à améliorer? Si oui, veuillez indiquer les activités du projet qui seront mises en place pour traiter l'égalité des sexes et pourquoi vous les choisies.

## **SECTION 3: Évaluation de l'environnement durable**

**3.0** Ferez-vous la construction, l'expansion ou la démolition de quelque construction humaine qui a un emplacement fixe, ou est-ce que votre projet mènera directement à une de ces choses (par exemple des bâtiments, routes, toilettes, puits ou systèmes d'approvisionnement d'eau, étangs, mines, etc.)? Par projets de construction nous entendons toute activité qui résultera en du travail physique; toute construction humaine et qui a un emplacement fixe.

OUI ☐

NON ☐

**SI OUI**, veuillez svp contacter la TRFC immédiatement, car votre projet pourrait être inadmissible au financement.

**3.1** BrDécrivez brièvement l'environnement physique de la région du projet proposé (par exemple, terrain approprié, climat, accès à l'eau, qualité du sol, utilisation de la terre, utilisation des ressources, caractéristiques écologiques et culturelles importantes, etc.)

**3.2** Quels effets potentiels pourrait avoir votre projet sur l'environnement physique (négatifs et positifs, immédiats et à long terme)?

**3.3** Est-ce que votre projet pourrait mener à un autre projet qui aurait un impact sur l'environnement (positif ou négatif), par exemple le financement d'une petite entreprise qui conduirait à la construction d'une structure physique)? Si oui, décrivez l'impact que ce projet fortuit pourrait avoir sur l'environnement.

## SECTION 4: Gouvernance

**4.0** Est-ce que ce projet supporte les populations les plus vulnérables et marginalisées?  
Veuillez écrire ici. La boîte de texte s'agrandira au besoin.

**4.1** Est-ce que ce projet promeut la démocratie et les standards internationaux des droits humains?

**4.2** Est-ce que ce projet a été discuté par des priorités nationales et/ou des consultations avec des bénéficiaires?

**4.3** Comment ce projet intégrera-t-il une bonne gouvernance?

## SECTION 5: Résultats du projet

**5.0** Veuillez identifier les objectifs que ce projet vise à accomplir.

**5.1** Veuillez identifier au moins deux mesures que vous utiliserez pour démontrer l'accomplissement des résultats désirés.

**5.2** Veuillez indiquer les mesures que vous utiliserez pour démontrer l'accomplissement des résultats de l'égalité des sexes que vous avez identifiés dans la Partie 2.

## IMPORTANT:

Veuillez envoyer votre formulaire de pré-qualification à: [grantstrfc@gmail.com](mailto:grantstrfc@gmail.com)

Dans la ligne de titre, écrivez : votre numéro de subvention mondiale, le pays dans lequel le projet sera fait et le nom de votre club tel qu'il apparaît sur la page titre. Ce format est utilisé pour consigner toutes les communications par rapport à votre demande.

**Numéro de la subv. mondiale:**

**Pays:**

**Club parrain:**

Afin d'éviter des questions potentielles des membres du comité des bourses de la TRFC, nous vous recommandons fortement d'inclure votre formulaire de subvention mondiale à ce formulaire de pré-qualification.

La Fondation Rotary Canada  
Comité des bourses

As outlined in Rotary's pre-qualification form, The Rotary Foundation (Canada) addresses its Environmental Strategy to cause no harm to local environments by asking Rotarian applicants to outline the physical environment of their proposed project site including relevant terrain, climate, water availability, soil quality, land use, resource use, and important ecological or cultural features. It also asks Rotarians to assess whether or not the project could have any immediate and long-term effects (be those negative or positive). Finally, TRFC asks Rotarians to look forward and assess whether or not future projects related to the current project could have an environmental impact.

A panel of Canadian environmental specialists have been put into place to assess all grants with environmental impact according to Government of Canada's requirements. All of these individuals also have global environmental experience.

Further, an Environmental Assessment form has been created to answer the questions that would assist the volunteer review committee and the environmental specialists to make an informed decision regarding funding the project.

Following is a copy provided.

### Environmental Sustainability Assessment

**1.** Are you constructing, expanding, operating, or demolishing any human-made structure that has a fixed location or will your project directly lead to any of these things (e.g. buildings, roads, latrines, wells or water-supply systems, ponds, mines, etc.)?

YES ☐

NO ☐

**If yes, please contact TRFC immediately as your project may be required to report under the Canadian Environmental Assessment Act and your project may be ineligible for funding.**

**2.** Briefly describe the physical environment in the area of your proposed project (e.g. relevant terrain, climate, water availability, soil quality, land use, resource use, important ecological or cultural features, etc.).

**3.** What potential effects could your project have on the physical environment (negative and positive, immediate and long-term effects)?

**3a.** Could your project lead to another project that may have an impact on the environment (positive or negative)? (e.g. financing for a small business that will lead to construction of a physical structure). If yes, describe the impact this incidental project may have on the environment.

**4.** What category under DFATD’s Environmental Integration Process do you believe your project to fall under? See the list here and in the appendix to the guidelines of this assessment.

Category B ☐

Category C ☐

**IMPORTANT NOTE:** If you believe your project falls under Category C, ensure that your answers above justify this decision. No further action is required and you do not have to continue with completing questions 5 to 9. If your project falls under Category B please complete all the remaining questions below. **The Partnership for Community Development will not fund projects that fall under Category A or D.**

**5a.** What measures will you integrate into your project to: mitigate/minimize any negative effects on the environment?

**5b.** Enhance positive environmental effects?

**5c.** Address potential effects of the environment on the project (e.g. reducing vulnerability to natural disasters, adapting to climate change)?

**6.** What are the potential concerns of the public relevant to the environmental effects of your project, and how will these be addressed?

**7.** What are the host country’s environmental policy and legal requirements relevant to your project, and how will these be addressed?

**8.** What experience and resources do the host country or implementing organization(s) have to manage environmental effects associated with this project?

**9.** Once the planned measures identified in question 5 have been implemented, will there be any remaining negative environmental effects? If yes, describe the impacts and how you plan to manage them.

## Annex 3:

# Information Related to Rotary's Global Grants System: RI Global Grant Standard Measures

Rotarian global grant sponsors for humanitarian projects and vocational training teams are required to incorporate monitoring and evaluation measures within their grant applications. Below, are the standard measures for each of Rotary's six areas of focus. Sponsors are also able to incorporate individualized, project-specific measures within their applications, as well.

### Basic Education and Literacy Measures

TRF enables Rotarians to ensure that all people have sustainable access to basic education and literacy by

1. Involving the community to support programs that strengthen the capacity of communities to provide basic education and literacy to all
2. Increasing adult literacy in communities
3. Working to reduce gender disparity in education
4. Supporting studies for career-minded professionals related to basic education and literacy

### Disease Prevention and Treatment Measures

TRF enables Rotarians to prevent disease and promote health by

1. Improving the capacity of local health care professionals
2. Promoting disease prevention programs, with the goal of limiting the spread of communicable diseases and reducing the incidences of and complications from non-communicable diseases
3. Enhancing the health infrastructure of local communities
4. Educating and mobilizing communities to help prevent the spread of major diseases
5. Preventing physical disability resulting from disease or injury
6. Supporting studies for career-minded professionals related to disease prevention and treatment

### Economic and Community Development Measures

TRF enables Rotarians to invest in people by creating sustainable, measurable and long term economic improvements in their communities and livelihoods by

1. Building the capacity of entrepreneurs, community leaders, local organizations, and community networks to support economic development in impoverished communities
2. Developing opportunities for productive work
3. Reducing poverty in underserved communities
4. Supporting studies for career-minded professionals related to economic and community development

### Peace and Conflict Prevention/Resolution Measures (Not applicable as this is not allowable under the GAC Grant Agreement)

TRF enables Rotarians to promote the practice of peace and conflict prevention/ resolution by

1. Training leaders, including potential youth leaders, to prevent and mediate conflict
2. Supporting peace-building in communities and regions affected by conflict
3. Supporting studies for career-minded professionals related to peace and conflict prevention/resolution

### Water and Sanitation Measures

TRF enables Rotarians to ensure that people have sustainable access to water and sanitation by

1. Providing equitable community access to safe water, improved sanitation and hygiene
2. Strengthening the ability of communities to develop, fund and maintain sustainable water and sanitation systems
3. Supporting programs that enhance communities' awareness of the benefits of safe water, sanitation and hygiene
4. Supporting studies for career-minded professionals related to water and sanitation

## Annex 4:

# Contract Agreement Government of Canada and The Rotary Foundation Canada

### Grant Agreement

Purchase Order: 7061614  
WBS Element: D001936  
GLAcc/CC/Fund: 52302/6211/0250  
Vendor: 1017851

Partnerships for Development Innovation Branch

This Grant Agreement is made in duplicate  
on May 21, 2015.

Between

*Her Majesty the Queen in right of Canada* ("Her Majesty"),  
represented by the Minister for International Development  
acting through the Department of Foreign Affairs, Trade and Development  
("DFATD")

And

*The Rotary Foundation Canada* ("the Organization" and/or "TRFC"), a legal  
entity having its head office/principal place of business at:

1-A-137 Kendall Street  
Point Edward, Ontario  
Canada N7V 4G6

To provide a grant to the Organization for the Project entitled  
"*Partnerships for Community Development*" ("the Project").

THEREFORE,

DFATD and the Organization agree as follows:

#### 1. Purpose of the Project

The purpose of the Project is *Partnerships for Community Development*. A complete project  
description is attached at Annex A.

#### 2. Reporting Process

The Organization agrees to provide DFATD with the following report(s):  
Annual reports and audited financial statements. Please refer to Annex B for more details.

#### 3. Communications

##### 3.1. The Organization

All communications shall be sent to:

The Rotary Foundation Canada  
Reid Asselstine  
President  
6 Blueridge Cres. RR #1 Orillia, ON  
L3V 6H1

Telephone: 705-325-5524

Email: [rotary4555@rogers.com](mailto:rotary4555@rogers.com)

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Development Canada

Affaires étrangères, Commerce  
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## 3.2. Department of Foreign Affairs, Trade and Development (DFATD)

All communications shall be sent to:

Gordon Scott,  
Senior Development Officer  
Partnerships for Development Innovation Branch  
200 Promenade du Portage  
Gatineau, Quebec  
K1A 0G4

Telephone: 343-203-6370

Fax: 819-953-3348

E-mail: Gordon.scott@international.gc.ca

## 4. Duration of the Agreement

This Agreement shall come into effect upon the date of the last signature and shall expire on September 1st, 2020.

## 5. Termination

DFATD may at any time, by notice in writing, terminate the Agreement in whole or in part. In the case of early termination DFATD and the Organization will consult with respect to reallocation of any uncommitted balance of grant funding.

## 6. Amendments

This Agreement may be amended only by formal written Amendment executed by DFATD and the Organization.

## 7. Indemnification

The Organization shall indemnify and save DFATD harmless from and against all claims, losses, demands, damages, costs and expenses which DFATD may sustain or incur in consequence or arising out of the Project.

## 8. Members of the House of Commons of Canada

No member of the House of Commons shall be admitted to any share or part of this Agreement, or to any benefit arising therefrom.

## 9. Anti-Corruption

No offer, gift, consideration or benefit of any kind, which constitutes an illegal or corrupt practice, has been or will be made to anyone, either directly or indirectly, as an inducement or reward for the award or execution of this Agreement. Any such practice will be grounds for terminating this Agreement or taking any other corrective action as required.

## 10. Assignment

This Agreement shall not be assigned by either party.

## 11. Public Recognition

### 11.1. Acknowledgement of Grant

At no additional cost to DFATD, the Organization agrees to acknowledge DFATD's funding in any public reference to the Project such as but not limited to announcements, interviews, speeches, press releases, publications, signage, websites, promotional materials and advertising, ensuring the appropriateness and accuracy of any messages. The Organization

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agrees to use any available opportunities to demonstrate that the Project is funded in whole or in part by the Canadian Government through DFATD.

### 11.2. Announcements

DFATD shall be informed, with reasonable advance notice, of any major public announcement relating to activities funded under this Agreement, to give the representative of the Government of Canada the opportunity to be involved in making the announcement. Where DFATD has expressed the desire to become involved, the Organization shall cooperate with DFATD in making the announcement

## 12. Anti-Terrorism

- 12.1. The Organization represents that the grant funding for the purposes of the Project shall not be used to benefit terrorist groups or individual members of these groups, or for terrorist activities, either directly or indirectly. The Organization shall refer to s. 83.01 of the Criminal Code of Canada for the definitions of the terms 'terrorist group' and 'terrorist activity'.
- 12.2. If applicable, the Organization shall include a corresponding provision in any sub-agreements that the Organization enters into with entities to which the Organization makes available the grant funding for the purposes of the Project.

## 13. Terms of Payment

- 13.1. DFATD shall make a grant based on a cost-sharing arrangement at a ratio of 2 to 3 (DFATD to the Organization), for the purpose of the Project described in Annex A to this Agreement in an amount of six million Canadian dollars (CDN\$6,000,000). The specific details and mechanics of the cost-sharing arrangement are described in Annex A of this Agreement.
  - 13.1.1 After the effective date of this Agreement, DFATD shall pay the Organization an amount of one million two hundred thousand Canadian dollars (CDN\$ 1,200,000) for DFATD's fiscal year 2015/2016.
  - 13.1.2 DFATD will make a second installment payment of one million two hundred thousand Canadian dollars (CDN\$ 1,200,000) for DFATD's fiscal year 2016/2017.
  - 13.1.3 DFATD will make a third installment payment of one million two hundred thousand Canadian dollars (CDN\$ 1,200,000) for DFATD's fiscal year 2017/2018.
  - 13.1.4 DFATD will make a fourth installment payment of one million two hundred thousand Canadian dollars (CDN\$ 1,200,000) for DFATD's fiscal year 2018/2019.
  - 13.1.5 DFATD will make a fifth installment payment of one million two hundred thousand Canadian dollars (CDN\$ 1,200,000) for DFATD's fiscal year 2019/2020.
- 13.2 This installment schedule of Grant payments may only be amended in writing by DFATD and the Organization.
- 13.3 Any payment to be made to the Organization is subject to there being an appropriation by the Parliament of Canada for the fiscal year in which the payment is to be made. If DFATD's appropriation is changed by the Parliament or if funds are not available for any other reason, this grant may be reduced or this Agreement may be terminated.

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13.4 The Organization agrees to use the Grant funds and any interest earned on the Grant funds exclusively for the purposes of the Project.

13.5 DFATD shall deposit the funds in the Organization's bank account as provided by the Organization and kept on file by DFATD.

#### 14. Total Funding

The Organization acknowledges that it has declared in writing to DFATD all sources of proposed funding and the corresponding amounts for the Project. The Organization shall update this declaration in its final report.

#### 15. Entire Understanding

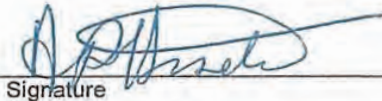
This Agreement, together with Annex A and B, which forms an integral part of the Agreement, constitute the entire understanding between DFATD and the Organization with respect to the Project.

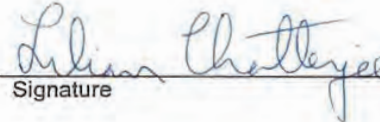
#### 16. Signatures

This Grant Agreement has been signed for Her Majesty and for the Organization by their respective representatives, duly authorized.

For *The Rotary Foundation Canada*

For *Her Majesty the Queen in right of Canada*

  
Signature

  
Signature

*REID ASSELSTINE*  
Name

*LILIAN CHATTERJEE*  
Name

*PRESIDENT*  
Title

*DIRECTOR GENERAL*  
Title

*May 21/2015*  
Date

*May 21, 2015*  
Date

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### Project Description

This project aims to reduce poverty in under-served communities and to enable girls and empower women to have equal education, economic and decision-making opportunities. The project shall accomplish this by focusing on three key areas: 1) Increased growth of local business and community networks by strengthening the development of local entrepreneurs and community leaders; 2) Increased utilization of quality healthcare and services including water and sanitation, with an emphasis on mothers and their children; and 3) Improved basic education and vocational training especially for women and girls. The project shall be carried out through a demand-driven program whereby The Rotary Foundation Canada (TRFC) runs an annual call for proposals open to Canadian and International Rotary Clubs. TRFC and DFATD will meet annually to discuss project progress and future plans.

The project builds on Rotary International's experience in providing training and services to assist communities to solve their own development challenges, consistent with international aid effectiveness principles. The project shall work in under-served communities in the developing world aligned with DFATD's countries of focus and interest, and will align Rotary International's development themes (Saving Mothers and Children, Supporting education, Growing local economies, Providing clean water, and Fighting disease) with DFATD's thematic priorities of *Securing the Future of Children and Youth*, particularly maternal, newborn and child health, and *Sustainable Economic Growth*. Rotary's approach is to ensure that recipient country Rotary Clubs carry out rigorous community needs assessment with beneficiaries and stakeholders to design projects that are responsive to local needs, are appropriate for low-resource and cultural settings, and that have lasting impact through enabling local communities to take ownership of results and sustainability.

The project is comprised of small and large individual projects. Small projects (\$100,000) are 1-2 years in duration and are normally managed and delivered by Canadian and local Rotary clubs. Large projects (over \$100,000) are up to 3 years in duration. The allocations between small and large projects will be determined based upon the quality of proposals received. Implementation of large projects is managed by the Rotary Clubs with Rotarian volunteers, and where required, delivery partners (local NGOs, local governments, private companies) work with the Rotary Clubs to supply the requisite technical expertise to carry out projects and ensure sustainability.

Project applications from individual Clubs are assessed by TRFC based on their alignment with DFATD thematic priorities and countries of interest, before a project is recommended for approval. Applications must also submit a community needs assessment; vendor quotes; a memorandum of understanding between the project sponsors, local rotary officials and local community partners including a statement of results; and a detailed budget as part of TRFC's due diligence internal requirements. Once approved projects are administered through Rotary International's Global Grants system, which provides tools to Canadian and local Rotary Clubs to guide implementation, monitor and evaluate performance, and report on progress.

#### Expected activities include:

1) Organize community development training and capacity building for host Rotarian Clubs; 2) Train local facilitators to support local business operators; 3) Establish micro-finance programs with an emphasis on women; 4) Establish savings accounts for small entrepreneurs; 5) Increase vocational training capacity to train youth for employment; 6) Establish pre-natal & health education; 7) Provide mobile health equipment; 8) Train health staff in use and maintenance of new health equipment; 9) Provide community education on safe water, sanitation and hygiene; 10) Create and train local water & sanitation governance committees to manage on an inclusive basis; 11) Deliver training on sustainable agriculture; 12) Equip schools with classroom furniture, library, computer and recreational equipment; 13) Upgrade teaching skills for



## Grant Agreement

## Annex A

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teachers and trainers; 14) Train local adult education instruction teams; 15) Provide adults education supplies; and 16) In consultation with DFATD, fund developing country Rotarians to attend the Rotary international conference in Toronto in 2018.

### Immediate results include:

1) Increased capacity of local organizations and community networks to support sustainable economic development especially for women; 2) Local entrepreneurs and community leaders, particularly women, are supported in the development of economic initiatives, do not impact negatively on the environment; 3) Increased economic opportunities and productive work, especially for youth and young adults of both genders; 4) Improved capacity of local health care professionals; 5) Enhanced community health infrastructures; 6) Better management of water resources and better sanitation practices that have a positive impact on the environment; 7) Access to quality education for boys and girls; and, 8) Increased capacity of communities to support basic education and literacy training.

### Estimated Project Budget

DFATD shall provide a grant of CDN \$6,000,000 over five (5) fiscal years. The Rotary Foundation Canada shall provide a matching financial contribution CDN \$9,000,000 on a 3:2 matching basis. DFATD funding does not include funds for activities related to construction of physical works, scholarships and procurement of large medical equipment (i.e. ambulances).

The Estimated Project budget and related terms are described below. This budget covers the DFATD grant and the Rotary Foundation Canada matching financial contribution.

### Estimated Project Budget – DFATD and TRFC Matching Funds

Components	2015/16	2016/17	2017/18	2018/19	2019/2020	TOTAL
DFATD Contribution	\$1,200,000	\$1,200,000	\$1,200,000	\$1,200,000	\$1,200,000	\$6,000,000
TRFC Contribution	\$1,800,000	\$1,800,000	\$1,800,000	\$1,800,000	\$1,800,000	\$9,000,000
TOTAL PROJECT	\$3,000,000	\$3,000,000	\$3,000,000	\$3,000,000	\$3,000,000	\$15,000,000



# Grant Agreement

## Annex B

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### Due Diligence and Reporting Requirements

#### 1. DUE DILIGENCE

- 1.1. As part of its project due diligence, TRFC shall prepare a gender and environmental strategy to inform individual projects as well as a performance measurement framework for each initiative. TRFC shall provide these strategies and frameworks to DFATD in advance of project implementation.
- 1.2. TRFC shall make available their procurement policy, at the request of DFATD.

#### 2. REPORTING

- 2.1. **AUDITED FINANCIAL STATEMENTS:** To be submitted on an annual basis.
- 2.2. **ANNUAL TRFC REPORTS:**

REPORT	REPORTING PERIOD	REPORT SUBMISSION
1 <sup>ST</sup> ANNUAL REPORT	Date of signature to June 30 <sup>th</sup> , 2016	September 1 <sup>st</sup> , 2016
SUBSEQUENT ANNUAL REPORTS	July 1 <sup>st</sup> to June 30 <sup>th</sup>	September 1 <sup>st</sup> , 2017/18/19
FINAL REPORT (SAME TEMPLATE AS THE ANNUAL REPORT, PRESENTING CUMULATIVE DATA)	Date of signature to June 30 <sup>th</sup> , 2020	September 1 <sup>st</sup> , 2020

\* Please note that DFATD shall be permitted to request a brief description of activities before the dates mentioned above, if deemed necessary. DFATD requires no financial reports. However, The Rotary Foundation Canada should include a declaration indicating that DFATD funds have been used for operational costs and that TRFC has respected its portion of the agreed cost share.

#### 2.3. EXECUTIVE SUMMARY

The executive summary is an independent section providing a summary of the information presented in the annual and final reports. The executive summary should contain:

- 2.3.1. *Program Overview:* including number of projects active, in which countries and funding allocated during the reporting period.
- 2.3.2. *Targets and Beneficiaries*
- 2.3.3. *Activities and Results*

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2.3.4. *Lessons learned*

2.3.5. *Cost-Share*

### 2.4. REPORT STRUCTURE

2.4.1. *Background*: Describe the projects approved during the reporting period, the country/region the project was located, the funding amount of each project and the Rotary clubs and partners involved in the implementation.

2.4.2. *Targets and Beneficiaries*: Describe the purpose of the projects and their intended beneficiaries (including demographic information and number of direct and indirect beneficiaries).

2.4.3. *Activities and Results*: Describe the main activities implemented during the reporting period and report progress against the targets achieved for all the expected results in the projects performance measurement framework. Both qualitative and quantitative results should be included in the report. The report should demonstrate specifically how the project has integrated gender equality, as well as its contribution to gender equality results. Provide a brief description of the factors that may have delayed the realization of project results after its implementation

2.4.4. *Sustainability of results*: Describe how the activities carried out under the project will contribute (or have contributed, in the case of the final report) to the sustainability of results.

2.4.5. *Lessons learned*: Provide lessons learned related to project implementation and results including significant challenges and opportunities that influenced the implementation and/or performance of the project. Lessons learned should take into consideration gender equality, governance, the environment and/ cultural relevance.

### 2.5. ANNEXES

Annex 1: Performance Measurement Framework for each individual project - including targets achieved for the period and cumulatively since the beginning of the project (for projects longer than 1 year).

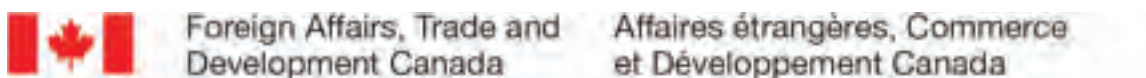
Annex 2: Any additional information pertinent to the program





## **PARTNERSHIP FOR COMMUNITY DEVELOPMENT**

**A Government of Canada and Rotary Foundation Canada Partnership**



## Introduction:

The Rotary Foundation (Canada) ("TRFC"), on behalf of Canadian Rotarians and the Department of Foreign Affairs, Trade and Development ("DFATD"), on behalf of the Government of Canada ("GOC"), have entered into a five-year agreement to jointly financially support Rotarian humanitarian actions. This new Partnership Agreement will extend nearly three decades of GOC support for Canadian Rotarians' efforts to "Do Good in the World".

The Partnership Agreement will run for the GOC financial year 2015-16 to and including 2019-2020. DFATD will provide an annual grant of \$1.2 million (CDN) towards this partnership. This Canadian initiative will be fully integrated into Rotary's global grant program, but with some additional measures to meet GOC requirements. TRFC and The Rotary Foundation ("TRF") will collaborate in the administration of this program. Participation in this program is voluntary.

## Project Eligibility:

This Partnership will support humanitarian and development initiatives that are of common areas of focus and countries of interest based upon Rotary's areas of focus and DFATD's mandate. In keeping with the GOC's development objectives, particular attention will be paid to the cross-cutting themes of gender equality, environmental sustainability and good governance. Most, but not all of the activities that can be undertaken with global grants will be eligible for funding under this Partnership. The program will focus on efforts designed to reduce poverty, promote economic development, improve health (especially maternal and child health), promote literacy and empower women.

- 1. Location:** Global grant projects proposed in any developing country eligible for Official Development Assistance and also eligible for grant activity per TRF global grant guidelines, are eligible for funding under this Partnership Program. The GOC has identified countries that are a priority for Canadian development programming. While projects targeted at those countries will not receive preferential treatment, there will be an expectation that most global grant projects do occur in these countries.

**(i) Countries of Focus:** Countries that DFATD has determined to be in greatest need and will benefit the most from development assistance.

### *DFATD has the following Countries of Focus:*

Afghanistan, Antigua and Barbuda, Bahamas, Bangladesh, Barbados, Belize, Benin, Burkina Faso, Colombia, Congo, Dominica, Ethiopia, Ghana, Grenada, Guyana, Haiti, Honduras, Indonesia, Jamaica, Jordan, Mali, Mongolia, Montserrat, Mozambique, Myanmar, Philippines, Peru, Saint Kitts and Nevis, Saint Lucia, Saint, Vincent and the Grenadines, Senegal, South Sudan, Suriname, Tanzania, Trinidad and Tobago, Westbank and Gaza, Ukraine & Vietnam.

**(ii) Partner Countries:** Countries where DFATD has established partnerships and has determined a thematic focus for development programming

in collaboration with the governments of those countries. Project proponents should review the DFATD information on its website before proposing an initiative for these countries.

### *Partners Countries include:*

Bolivia, Cuba, Egypt, Kenya, Guatemala, Iraq, Malawi, Morocco, Nicaragua, Nigeria, Pakistan, Sri Lanka, and South Africa.

**(iii)** Please note that the global grant eligible countries may differ from those that would otherwise be eligible for GOC funding. The project country must be eligible for funding by both TRF and GOC.

- 2. Project Types:** Projects related to five of Rotary's six areas of focus are eligible for funding under this Partnership Program. These are: economic and community development, disease prevention and treatment, maternal and child health, water and sanitation, and basic education and literacy.
- 3. Anticipated Activities:** The following list of project possibilities are based upon Canadian Rotary clubs' and districts' past initiatives and are meant to be illustrative of potential program projects, but not in themselves a limit on future projects.

*Potential projects include:*

- |   |   |
|---|---|
| (i) Establishing micro-credit programs,                                     | (ix) Creating and training local water and sanitation governance committees,                        |
| (ii) Training local economic development facilitators,                      | (x) Delivering training on sustainable agriculture,   |
| (iii) Assisting small entrepreneurs establish bank accounts,                | (xi) Equipping schools with classroom furniture, libraries, computer labs & recreational equipment, |
| (iv) Increasing vocational training capacity,                               | (xii) Upgrading teaching skills of teachers and trainers,   |
| (v) Establishing pre-natal and other health education programs,             | (xiii) Training local adult education instruction teams,  |
| (vi) Providing mobile health equipment (but not vehicles),                  | (xiv) Providing adult education supplies, and   |
| (vii) Training health staff in use and maintenance of new equipment,        | (xv) Organizing community development and capacity building training for Rotary clubs.              |
| (viii) Providing community education on safe water, sanitation and hygiene, |   |

- 4. Project Restrictions:** The agreement with DFATD has three major eligibility restrictions: scholarships; construction activities of any kind; purchase of vehicles and major medical equipment purchases.
- 5. Project Size:** As this Partnership Program is to be integrated into the global grant program, the range of project size will be governed by the applicable minimum and maximum TRF global grant contributions. In an effort to support some smaller, as well as larger projects, the grants will be limited to a maximum of \$100,000 (USD) with a maximum of \$400,000 (USD) for all the grants in excess of \$75,000 (USD). This limit for major grants may be revised from time to time.
- 6. Project Time-frames:** The expectation is that the smaller projects, less than \$80K (USD) will be completed within 24 months of approval and the larger projects within 36 months of approval.
- 7. Additional Requirements:** The GOC's current international development objectives have been in pursuit of the UN's Millennium Development Goals. As a result, there are three cross-cutting themes that all funded projects must take into account. These are: Gender Equity; Environmental Sustainability; and Good Governance. They are not a specific requirement of the global grant program. However, they must be addressed in this Partnership Program.

This will mean undertaking an assessment of the status of gender equality, the local environment, and governance capability prior to applying for funding from this program. It will also require building elements into the project proposal to address these issues and considering at the outset, the information that will need to be gathered to demonstrate progress upon completion of the project. TRFC will develop guidelines and tools to assist with these processes.

## Funding Model:

The funding model is designed to be integrated into the existing global grant structure, but in such a way that both partners are in effect leveraging each other's contribution. Also, this program has been designed so that the funding model is predictable and easy to calculate. The GOC contribution will be considered as a "cash" contribution to the project.

As with all global grant requests, the Rotary club(s)' contributions will be the starting point. Then if the district(s) chooses, it may agree to allocate some of its District Designated Funds ("DDF") to the project according to TRF and its policies. Then, presuming the project is eligible under this Partnership Program, the Canadian Rotary Club project proponent may request that TRFC allocate GOC funds to the project. This will be done on a 1 to 1 match for club and any allocated DDF, so long as sufficient GOC funds are available. The project proponents may then request a World Fund allocation, which would be on a 1 to 2 ratio for the club and GOC funds, and on a 1 to 1 ratio for any DDF contribution.

### Examples:

(i) This example illustrates the Funding Model as applied to a small project where the district has allocated DDF on a 1 to 2 ratio.

A> Rotary Club Cash	\$ 10,000
B> DDF (\$1 to \$2 for A)	\$ 5,000
C> GOC Funds (\$1 to \$1 for A + B)	\$ 15,000
D> World Fund (\$1 to \$2 for A + C)	\$ 7,500
E> World Fund (\$1 to \$1 for B)	\$ 5,000
<b>Total Available for the Project</b>	<b>\$ 42,500</b>

(ii) This example illustrates the Funding Model for a small project where the district has allocated DDF at a 1 to 1 ratio.

A> Rotary Club Cash	\$ 10,000
B> DDF (\$1 to \$2 for A)	\$ 10,000
C> GOC Funds (\$1 to \$1 for A + B)	\$ 20,000
D> World Fund (\$1 to \$2 for A + C)	\$ 15,000
E> World Fund (\$1 to \$1 for B)	\$ 10,000
<b>Total Available for the Project</b>	<b>\$ 65,500</b>

(iii) This example illustrates the Funding Model as applied to a medium sized project where the DDF contribution has been capped at \$10K.

A> Rotary Club Cash	\$ 40,000
B> DDF (with cap)	\$ 10,000
C> GOC Funds (\$1 to \$1 for A + B)	\$ 50,000
D> World Fund (\$1 to \$2 for A + C)	\$ 45,000
E> World Fund (\$1 to \$1 for B)	\$ 10,000
<b>Total Available for the Project</b>	<b>\$ 155,000</b>

## Rotary Foundation Additional Contributions

The GOC funds administered by TRFC are considered a cash contribution to the project. TRFC, rather than the Rotary club(s), will be responsible for funding and forwarding the appropriate additional contribution amounts to TRF with respect to the approved GOC project funds. Please note that the Rotary club(s) will still be responsible for the 5% “additional contribution” related to its own cash contribution.

### Application Call:

TRFC will initiate an annual call for projects that will be in effect until such time as the funds are expended. Except for the initial launch in 2015, the application call will be made well in advance of the commencement of Rotary’s next operating year. Project applications will be considered on a first come, first served basis. However, please note that funds for this Partnership Program will not be locked in, until the global grant application receives final approval.

### Application Process:

The application and approval processes have been designed to rely as much as possible upon the global grant process and do so in a manner that is efficient and effective for Rotary clubs and for Rotary staff, while taking into account the DFATD requirements. The latter will require one step in addition to the global grant application process.

As a first step in the process, it is recommended that the Rotary club or district project committees contact their district Rotary Foundation grant committee to seek their advice as to whether the intended project will be eligible for a global grant and the potential amount of DDF support. Then, the project sponsoring club or district must seek preliminary project eligibility approval from TRFC.

This will require the project proponents to provide TRFC with a brief summary of the purpose, expected project activities, estimated financial request of the TRFC and the locale of the project. In addition, the proponents will be required to provide some advanced information with respect to the project and DFATD’s three overall concerns with respect to gender equality, environmental sustainability and governance.

In essence, this DFATD requirement is an enhancement of the community assessment approach set out in the Rotary Foundation Grant Management Manual, but must be completed in advance of requesting the preliminary eligibility approval from TRFC. Information, with respect to these three matters, and an application template will be made available by TRFC to facilitate compiling this additional information.

Upon receipt of this information, TRFC will determine the eligibility in-principle of the project to access GOC funding and so advise the Rotary club or district and TRF staff. TRFC will also indicate whether there remain sufficient GOC funds at the time. Where approval in-principle is given, the project proponents may proceed with their global grant application, including the GOC funding request per the funding model. Where the project is found to be ineligible, TRFC will advise the proponents as to the reason(s) it is ineligible. If the project proposal can be adjusted to meet the eligibility requirements, the proponents may re-submit to TRFC.

Upon receipt of a positive TRFC response, the proponents may proceed to submit the regular on-line global grant application. Upon receipt of the actual global grant application, it will be reviewed by TRF grants staff and where considered complete and eligible, forwarded to the TRFC for final consideration. TRFC will advise TRF grants staff, who will notify the proponents in the same manner as for any other global grant request.

## Implementation, Monitoring, Reporting and Stewardship:



All of the global grant requirements with respect to administration, reporting and stewardship are applicable to this program. Project proponents should ensure that they have everything in place to fully comply with the global grant requirements. There will be one additional requirement, which is that in addition to the final project report filed with TRF, a separate report with respect to the project's impact on gender equality, environmental sustainability and governance must be filed with TRFC. A reporting template will be provided by TRFC. Please note that on occasion, TRFC may request additional information to be submitted with respect to global grant reports.



## Program Publicity:

Over the duration of this Partnership Program, TRFC and DFATD may wish to publicize the good works of the Partnership. Consequently, TRFC may request stories, information and photos from project proponents during or following the completion of a project.

## TRFC-DFATD Partnership Program Information:

Partnership program information will be available on TRFC's website: <http://portal.clubrunner.ca/100984>.

Inquiries and other correspondence regarding this program may be sent to TRFC electronically via the designated email address: [grantstrfc@gmail.com](mailto:grantstrfc@gmail.com)



## Annex 6:

# No-cost Extension for the Partnerships for Community Development Program (D001936/P001004)



Global Affairs  
Canada

Affaires mondiales  
Canada

2020-04-20

John Stairs  
President  
Rotary Foundation of Canada  
33 King Street W.  
Caledon, Ontario L7E1C7

Dear Mr. Stairs,

I hope this message finds you and yours well given the difficult environment in which we are now living.

I would like to thank you for your letter regarding a possible longer no-cost extension for the Partnerships for Community Development program (D001936/P001004). After further discussion on TRFC's request, we will be extending the grant agreement until June 1, 2021. I trust that this will be helpful.

Reporting requirements for the final cumulative report, as found in Annex B of the agreement, will therefore be revised to the below dates.

- Reporting Period: Date of signature to March 31, 2021; and
- Report Submission: June 1, 2021.

As mentioned previously, this email will serve as an approval in principal with a formal amendment to come once the contracts unit is able to proceed with the paperwork.

I would be delighted to meet with you and your team either in Ottawa or during travels in Canada or Chicago, when life gets a bit more normal.

Do not hesitate to contact us if you require further information. I look forward to our continued collaboration.

Yours sincerely,

cc. Directors Ms. Dean Rohrs and Ms. Betty Screpnek

Nathalie O'Neil  
Director, Education and Social Development  
Canadian Partnerships for Innovation in Development branch  
125 Sussex drive  
Ottawa, Ontario, K1A 0G2



## The Rotary Foundation (Canada)

The Rotary Foundation (Canada) is an official associate foundation of The Rotary Foundation of Rotary International.

The mission of The Rotary Foundation is to enable Rotarians to advance world understanding, goodwill, and peace through the improvement of health, the support of education, and the alleviation of poverty.

The Rotary Foundation (Canada) is a Canadian federally chartered corporation and Canadian registered charity supported solely by voluntary contributions from Rotarians and friends of The Rotary Foundation who share its vision of a better world.

The Rotary Foundation was created in 1917 by Rotary International's sixth president, Arch C. Klumph, as an endowment fund for Rotary ***"to do good in the world."***

## The Four-Way Test

The Four-Way Test is a nonpartisan and non-sectarian ethical guide for Rotarians to use for their personal and professional relationships. The test has been translated into more than 100 languages, and Rotarians recite it at club meetings:

Of the things we think, say or do

1. Is it the **TRUTH**?
2. Is it **FAIR** to all concerned?
3. Will it build **GOODWILL** and **BETTER FRIENDSHIPS**?
4. Will it be **BENEFICIAL** to all concerned?

**Rotary**  **Rotary's Vision Statement**

**TOGETHER, WE SEE A WORLD  
WHERE PEOPLE UNITE AND TAKE ACTION  
TO CREATE LASTING  
CHANGE ACROSS THE GLOBE,  
IN OUR COMMUNITIES,  
AND IN OURSELVES.**

